STATEMENT. CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(b)) – INDEPENDENT INVENTOR



DOCKET NUMBER. 301502.1000-000

Applicant or Patentee:	John J. Halloran		
Application or Patent N	Jo. <u>09/891,879</u>	The subsection of the subsecti	
Filed or Issued:	June 26, 2001		
For:	Potential Energy Storage System		
	me inventor, I hereby state that ent and Trademark Office descr		r as defined in 37 CFR 1.9(c) for purposes of paying
[] the s	pecification filed herewith with	h title as listed above.	

[X] the application identified above.[] the patent identified above.

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR(d) or a nonprofit organization under

37 CFR 1.9(e)

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

[X] no such person, concern, or organization exists.

[] each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

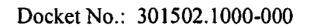
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

John J. Halloran

NAME OF INVENTOR

Signature of Inventor

Date





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

John J. Halloran

Application No.:

09/891,879

Filed Date:

June 26, 2001

Confirmation No.:

9058

Group: 3635

Examiner:

R. Canfield

For:

Potential Energy Storage System

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on Nettenber 21 20

Signatur

Typed or printed name of person signing certificate

TRANSMITTAL OF SMALL ENTITY STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please file the enclosed Small Entity Statement in the above-identified patent application.

Respectfully submitted,

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